

Brown Advisory Funds plc (the “Company”)

APPLICATION FORM AND PAYMENT INSTRUCTIONS

This Application Form should be read in the context of and together with the Prospectus of the Company, currently into force and as may be amended from time to time (the “Prospectus”). To the extent not otherwise defined in this Application Form, all capitalised terms shall have the same meaning as in the Prospectus. Please complete all of the following sections, including Appendix I attached hereto.

Mailing Address and Contact Information:

Brown Advisory Funds plc
Brown Brothers Harriman Fund Administration Services (Ireland) Limited
30 Herbert Street
Dublin D02 W329
Ireland

BBH TA Dublin

Tel: + (353) 1 603 6490

Fax: + (353) 1 603 6310

Email: BBH.Dublin.TA@bbh.com

1. Subscription Information

I/We (“I/we” and/or the “Applicant”), hereby apply to purchase Class _____ in the currency and sub-fund of Brown Advisory Funds plc listed below:

Currency

Sub-fund

(Please refer to Appendix IV for a listing of each sub-fund and the classes, currencies and minimum and additional investment requirements of each sub-fund available for investment.)

Wiring Instructions

Please pay subscription monies to the following accounts, as applicable:-

USD Subscription

Pay Bank: Citibank N.A., New York

SWIFT: CITIUS33

ABA: 021000089

Account Name: Brown Brothers Harriman & Co.

SWIFT: BBHCUS33

Account Number: 09250276

Beneficiary A/C No: **6185276**

Beneficiary A/C Name: Brown Advisory Funds plc /Shareholder Account

Reference: *(Insert name of Applicant)*

GBP Subscription

Pay Bank: Barclays Bank plc, London

SWIFT: BARCGB22

Sort Code: 20-32-53

Account Name: Brown Brothers Harriman & Co.

Account Number: 53623157

Beneficiary A/C No: **6185276**

Beneficiary A/C Name: Brown Advisory Funds plc /Shareholder Account

Reference: *(Insert name of Applicant)*

EUR Subscription

Bank Name: Hong Kong and Shanghai Banking Corporation, London

SWIFT: MIDLGB22

Account Name: Brown Brothers Harriman & Co.

IBAN: GB49MIDL40051537860431

Account number: 37860431

Beneficiary A/C No: **6185276**

Beneficiary A/C Name: Brown Advisory Funds plc /Shareholder Account

Reference: *(Insert name of Applicant)*

2a. Account Registration Details¹

Corporate Name (if applicable)

Registered Name of Applicant

Registered Address or Principal Place of Business

Mailing Address (if different from above)

Telephone

Facsimile

Email²

Country(ies) of Taxation

Taxpayer Identification Number (TIN) (if applicable)

¹ Full names of all holders (whether individuals or corporations) must be given. A maximum of four persons may be joint holders of Shares. If there are joint applicants, please provide a supplement to this Application Form providing all of the requested information under this Section 2a. In the case of corporate applicants, please state corporation type and jurisdiction of incorporation. Any change of address or email address should be notified immediately to the Administrator at the above address. All applications received from countries which are not Prescribed Countries must be made through the Investment Manager/distributor.

² By providing an email address above to the Company, the Applicant agrees to receive electronically all Company and portfolio related

documentation and/or communications, including but not limited to the Prospectus, key investor information documents (“KIIDs”), financial reports and documentation required in respect of shareholder meetings, at the email address specified in this Application Form. Electronic communication by the Company, Brown Advisory LLC (the “Investment Manager”) or Brown Brothers Harriman Fund Administration Services (Ireland) Limited (the “Administrator”) includes e-mail delivery as well as electronically making available on the Company’s website. The Applicant may at any time request a hard copy of any such communications from the Company and/or revoke, in writing or other authorised manner, the consent given to receive such information electronically.

Place of Birth (individuals only)

Citizenship (individuals only)

Global Intermediary Identification Number (GIIN) (if applicable)

PPS# (Irish investors only)

2b. Bank Account Details for Redemption and Distribution Payments

I/We shall transfer the amount to be invested from my/our bank account as follows and confirm that this account is in the name of the registered account holder. Should there be any change to any of my/our standing data, I/we will advise the Administrator in writing. I/We acknowledge that all redemption proceeds will be paid to my/our account on record unless I/we advise the Administrator otherwise in writing in advance of requesting any redemption.

Beneficiary Bank

Bank Name

Bank Address

Full Bank Account Name

Bank Account Number

IBAN Number

SWIFT Code

Other Details (as applicable)

Currency

Intermediary Bank

Bank Name

Bank Address

Full Bank Account Name

Bank Account Number

IBAN Number

Swift Code

Other Details (as applicable)

Currency

☐ In the event that a dividend is declared, it will be reinvested in the relevant fund, if you prefer your dividend to be paid in cash by wire transfer to the account number listed on the Application Form, please tick the box.

3. Declaration of Residence Outside of Ireland³

Applicants resident outside Ireland are required by the Irish Revenue Commissioners to make the following declaration which is in a format authorised by them, in order to receive payment without deduction of tax. It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of shares/units.

(Please select (a) or (b) below as appropriate)

☐ (a) Declaration on Own Behalf

I/We declare that I am/we are applying for the shares/units on my own/our own behalf/on behalf of a company and that I am/we are/the company will, upon the issue of shares/units to me/us/the company be entitled to the shares/units in respect of which this declaration is made and that (i) I am/we are/the company is not currently resident or ordinarily resident in Ireland, and (ii) should I/we/the company become resident in Ireland I/we/the company will so inform in writing, accordingly.

☐ (b) Declaration as Intermediary

I/We declare that I am/we are applying for Shares on behalf of persons (i) who will be beneficially entitled to the Shares; and (ii) who, to the best of my/our knowledge and belief, are neither resident nor ordinarily resident in Ireland.

³ Please do not complete Section 3 if it is inapplicable. If the Applicant is an "Exempt Irish Investor" it should contact the

Administrator who will provide it with the appropriate declaration that must be made to confirm its status.

I/We also declare that unless I/we specifically notify you to the contrary at the time of application, all applications for Shares made by me/us from the date of this Application Form will be made on behalf of such persons; and I/we will inform you in writing if I/we become aware that any person on whose behalf I/we hold Shares becomes resident in Ireland.

4. Declarations and Signatures

I/We declare that each individual named above is over the age of 18, and that I/We agree to accept the number of Participating Shares allotted in respect of this Application Form.

I/We warrant that I/We are duly authorised to sign this Application Form and to subscribe for or redeem Shares in the Company and that by virtue of my/our subscribing for, holding or redeeming Shares in the Company I/we are/will not be in breach of any laws or regulations of any competent jurisdiction and I/we hereby indemnify the Company, the Administrator, the Depositary and other Shareholders for any loss suffered by them as a result of this warranty/representation not being true in every respect.

I/We authorise the Company to provide, directly or indirectly, to any relevant tax authorities or any party authorised to audit or conduct a similar control of the Company for tax purposes, a copy of this Application Form and to disclose to such tax authorities or such party any additional information that the Company may have in its possession that is relevant to the Applicant's qualification for any benefits claimed on the basis of this Application Form. I/We acknowledge and agree that information contained in this Application Form and information regarding income paid or credited to or for the benefit of the account(s) set out above may be reported to the tax authorities of the country in which such income arises and that those tax authorities may provide the information to the country or countries in which the Applicant is resident for tax purposes under tax information exchange regimes such as the OECD Common Reporting Standard.

I/We agree to provide these representations to the Company on an annual basis and at such other times as the Company may request and to provide on request such certifications, documents or other evidence as the Company may reasonably require to substantiate such representations.

I/We, agree to notify the Company immediately if I/we become aware that any of the representations or self-certifications in Appendix 1 is/are no longer accurate and complete in all respects and agree immediately to sell or to tender to the Company for redemption a sufficient number of Shares to allow the representation to be made.

The Applicant hereby acknowledges that it has received and read the KIID for the Fund(s) and Class(es) to which its subscription relates, and that it understands and accepts the objectives and risks outlined therein. The Applicant hereby confirms that for any subsequent investments it will obtain and read the latest version of the appropriate KIID.

I/We, having received and considered a copy of the Prospectus, hereby confirm that this application is based solely on the Prospectus together (where applicable) with the most recent annual report and accounts of the Company and (if issued after such report and accounts) its most recent unaudited semi-annual report and the Memorandum and Articles of Association of the Company, as amended from time to time (together, the "Constitution").

I/We acknowledge that due to money laundering requirements operating within their respective jurisdictions, the Company, and/or the Administrator may require further identification of the Applicant(s) before the Application Form can be processed and the Company and the Administrator shall be held harmless and indemnified against any loss arising due to the failure to process this Application Form if such information as has been required by the parties hereto has not been provided by me/us.

I/We acknowledge that the Company or the Administrator also reserves the right to refuse to make any redemption payment or distribution to a Shareholder, or may discontinue the relationship with a Shareholder, if the Company or the Administrator suspects or is advised that the payment of any redemption or distribution monies to such Shareholder might result in a breach or violation of any applicable anti-money laundering or other laws or regulations by any person in any relevant jurisdiction, or such refusal is considered necessary or appropriate to ensure the compliance by the Company or the Administrator with any such laws or regulations in any relevant jurisdiction.

I/We hereby confirm that this Application Form is not being made by a Politically Exposed Person (“PEP”)⁴/immediate family member of a PEP/close associate of a PEP and that I/we do not have a beneficial owner who is a PEP/immediate family member of a PEP/close associate of a PEP and this Application Form is not being made for the benefit of a PEP/immediate family member of a PEP/close associate of a PEP and it is not intended to transfer the Shares to a PEP/immediate family member of a PEP/close associate of a PEP.

I/We acknowledge that by completing the Application Form, I/we am/are providing personal information, which may constitute personal data within the meaning of the Irish Data Protection Act, 1988, as amended by the Data Protection (Amendment) Act, 2003 (the “Data Protection Legislation”). This data will be used for the purposes of administration, transfer agency, statistical analysis, research and disclosure to the Company, its delegates and agents. By signing the Application Form, I/we acknowledge that I/we am/are providing my/our consent to the Company, its delegates and its or their duly authorised agents and any of their respective related, associated or affiliated companies obtaining, holding, using, disclosing and processing the data for any one or more of the following purposes:

- to manage and administer my/our holding in the Company and any related accounts on an ongoing basis;
- for any other specific purposes where I/we have given specific consent;
- to carry out statistical analysis and market research;
- to comply with legal and regulatory obligations applicable to me/us and the Company;
- for disclosure or transfer, whether in Ireland or countries outside Ireland, including without limitation the United States, which may not have the same data protection laws as Ireland, to third parties including financial advisers, regulatory bodies, auditors, technology providers or to the Company and its delegates and its or their duly appointed agents and any of their respective related, associated or affiliated companies for the purposes specified above; or
- for other legitimate business interests of the Company.

Pursuant to the Data Protection Legislation, I/we acknowledge that I/we have a right of access to my/our personal data kept by the Company and the right to amend and rectify any inaccuracies in my/our personal data held by the Company by making a request to the Company in writing. The Company is a Data Controller within the meaning of the Data Protection Legislation and undertakes to hold any personal information provided by me/us in confidence and in accordance with the Data Protection Legislation. By signing the Application Form, I/we consent to the recording of telephone calls made to, and received from, me/us by the Company, its delegates, its duly appointed agents and any of their respective related, associated or affiliated companies for recordkeeping, security and/or training purposes.

Additionally, by signing the Application Form, I/we acknowledge and accept that the Company and/or its delegate, for purposes of FATCA compliance, may be required to disclose personal data relating to U.S. Reportable Accounts and, in certain cases, their “controlling U.S. persons” and “nonparticipating FFIs” (as defined in FATCA), to the Irish Revenue Commissioners and the U.S. Internal Revenue Service, under FATCA who may exchange this information with the tax authorities of participating CRS jurisdictions. In addition, the Company and/or its delegate may be required to disclose personal data regarding individuals in CRS jurisdictions to the Irish Revenue Commissioners and/or the tax authorities of the country or countries in which the financial accounts are maintained and subsequently exchanged with tax authorities of another country or countries in which a Shareholder may be resident pursuant to the CRS. Further information can be obtained from the Automatic Exchange of Information webpage of [revenue.ie \(www.revenue.ie/en/business/aeoi\)](http://www.revenue.ie/en/business/aeoi).

I/We understand that the Company may be susceptible to operational and information security risks through breaches in cyber security. Cyber security breaches include, without limitation, infection by computer viruses and gaining unauthorised access to the Company’s systems, or those of its service providers, through “hacking” or other means for the purpose of misappropriating assets or sensitive information, corrupting data, or causing operations to be disrupted. Cyber security breaches may also occur in a manner that does not require gaining unauthorised access, such as denial-of-service attacks or situations where

⁴ Politically Exposed Person means any individual (as well as an immediate family member, or person known to be a close associate of

such person) who is or has been entrusted with prominent state or otherwise public functions within the past year.

authorised individuals intentionally or unintentionally release confidential information stored on the Company's systems. A cyber security breach may cause disruptions and impact the business operations of the Company, which could potentially result in financial losses, inability to determine the NAV, violation of applicable law, regulatory penalties and/or fines, compliance and other costs. I/We understand that indirect cyber security breaches at third-party service providers may also subject the Applicant to the same risks associated with direct cyber security breaches. Further, indirect cyber security breaches at an issuer of securities in which the Company invests may similarly negatively impact the Company.

I/We acknowledge that subscriptions monies received by a Fund in advance of the issue of Shares will be held in the Umbrella Cash Account in the name of the Company and will be treated as an asset of the relevant Fund. I/We acknowledge that investors will be unsecured creditors of the relevant Fund with respect to the amount subscribed and held by the relevant Fund until such Shares are issued, and will not benefit from any appreciation in the NAV of the relevant Fund or any other shareholder rights (including dividend entitlement) until such time as Shares are issued. I/We acknowledge that in the event of an insolvency of the relevant Fund or the Company, there is no guarantee that the Fund or the Company will have sufficient funds to pay unsecured creditors in full.

I/We acknowledge that payment by the relevant Fund of redemption proceeds and dividends is subject to receipt by the Administrator of original subscription documents and compliance with all anti-money laundering procedures. Notwithstanding this, I/we acknowledge that redeeming Shareholders will cease to be Shareholders, with regard to the redeemed Shares, and will be unsecured creditors of the Fund, from the relevant redemption date. I/We acknowledge that pending redemptions and distributions, including blocked redemptions or distributions, will, pending payment to the relevant Shareholder, be held in the Umbrella Cash Account in the name of the Company. Redeeming Shareholders and Shareholders entitled to such distributions will be unsecured creditors of the Fund, and will not benefit from any appreciation in the NAV of the relevant Fund or any other shareholder rights (including further dividend entitlement), with respect to the redemption or distribution amount held by the relevant Fund. I/We acknowledge that in the event of an insolvency of the relevant Fund

or the Company, there is no guarantee that the Fund or Company will have sufficient funds to pay unsecured creditors in full. Redeeming Shareholders and Shareholders entitled to distributions should ensure that any outstanding documentation and information is provided to the Administrator promptly. I/We acknowledge that failure to do so is at such Shareholder's own risk.

I/We hereby undertake to observe and agree to be bound by the provisions of the Constitution and its material contracts as set out in the Prospectus.

I/We apply to be entered in the Register of Shareholders as the holder/holders of the Shares issued in relation to this Application Form.

I/We direct that on the death of one of us the Shares for which we hereby apply will be held in the name of and to the order of the survivor(s) of us or the executors and administrators of such survivor. (Applicable to joint shareholdings only.)

Where there are joint applicants, each must sign. Application Forms from a corporation must be signed by an authorised officer or be completed otherwise in accordance with its constitution (evidence of such authority may be required).

I/We, hereby confirm that the Company, the Directors and the Administrator are each authorised and instructed to accept and execute any instructions in respect of this Application Form and the Shares to which it relates given by me/us by facsimile. If instructions are given by me/us to ensure that such instructions are received in legible form, and I/we undertake to confirm them in writing. I/We hereby indemnify the Company, the Directors and the Administrator and whatsoever arising to each of them as a result of any loss of any nature whatsoever arising to each of them as a result of any of them acting on facsimile instructions. The Company, the Directors and the Administrator may rely conclusively upon and shall incur no liability in respect of any action taken upon notice, consent, request, instructions or other instrument believed, in good faith, to be genuine or to be signed by properly authorised persons.

Signature(s) of Applicant(s)

I/We being a subscriber for Shares in the Company agree to be bound by the Declarations, Representations, Consents and Indemnities set out in this Application Form and Appendix I.

Signature (1)

Capacity of Authorised Signatory (1)

Print Name of Authorised Signatory (1)

Signature (2)

Capacity of Authorised Signatory (2)

Print Name of Authorised Signatory (2)

Date

(If Applicant requires additional authorised signatories, attach separate document with information in the same format as above.)

PLEASE COMPLETE APPENDIX I ON THE FOLLOWING PAGES

APPENDIX I: SELF-CERTIFICATION FOR FATCA AND CRS

Individual (Controlling Person's) Self-Certification for FATCA and CRS

Instructions for completion

We are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an investor's interests in the Fund with relevant tax authorities. This form is intended to request information only where such request is not prohibited by Irish law.

If you have any questions about this form or defining the investor's tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser.

For further information on FATCA or CRS please refer to Irish Revenue website at <http://www.revenue.ie/en/business/aeoi/index.html> or the following link to the OECD CRS Information Portal at: <http://www.oecd.org/tax/automatic-exchange/> in the case of CRS only.

If any of the information below about the investor's tax residence or FATCA/CRS classification changes in the future, please advise of these changes promptly.

Please note that where there are joint or multiple account holders each investor is required to complete a separate Self-Certification form.

Sections 1, 2, 3 and 5 must be completed by all investors.

Section 4 should only be completed by any individual who is a Controlling Person of an entity investor which is a Passive Non-Financial Entity. For further guidance see.

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

(Mandatory fields are marked with an *)

Section 1: Investor Identification

Investor Name*: _____

Current Residential Address*:

Number: _____ Street: _____

City, town, State, Province or County: _____

Postal/ZIP Code: _____ Country: _____

Mailing address (if different from above):

Number: _____ Street: _____

City, town, State, Province or County: _____

Postal/ZIP Code: _____ Country: _____

Place Of Birth*

Town or City of Birth*: _____ Country of Birth*: _____

Date of Birth*: _____

Section 2: FATCA Declaration of U.S. Citizenship or U.S. Residence for Tax purposes*:Please tick either (a) **or** (b) and complete as appropriate.

- (a) ☐ I confirm that [**I am**]/[the investor is] a U.S. citizen and/or resident in the U.S. for tax purposes and [my]/[its] U.S. federal taxpayer identifying number (U.S. TIN) is as follows:

OR

- (b) ☐ I confirm that [**I am not**]/[the investor is not] a U.S. citizen or resident in the U.S. for tax purposes.

Section 3: CRS Declaration of Tax Residency (please note you may chose more than one country)*

Please indicate your/ the investor's country of tax residence (if resident in more than one country please detail all countries of tax residence and associated taxpayer identification numbers ("TIN")). Please see the CRS Portal for more information on Tax Residency.

County of Tax Residency	Tax ID Number

NOTE: Provision of a Tax ID number (TIN) is required unless you are tax resident in a Jurisdiction that does not issue a TIN.

Section 4 – Type of Controlling Person

(ONLY to be completed by any individual who is a Controlling Person of an entity investor which is a Passive Non-Financial Entity or an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution)

For joint or multiple Controlling Persons please complete a separate Self-Certification form for each Controlling Person

<i>Please Confirm what type of Controlling Person applicable under CRS that applies to you/the investor by ticking the appropriate box.</i>	Please Tick	Entity Name
Controlling Person of a legal person – control by ownership	<input type="checkbox"/>	
Controlling Person of a legal person – control by other means	<input type="checkbox"/>	
Controlling Person of a legal person – senior managing official	<input type="checkbox"/>	
Controlling Person of a trust - settlor	<input type="checkbox"/>	
Controlling Person of a trust – trustee	<input type="checkbox"/>	
Controlling Person of a trust – protector	<input type="checkbox"/>	
Controlling Person of a trust – beneficiary	<input type="checkbox"/>	
Controlling Person of a trust – other	<input type="checkbox"/>	
Controlling Person of a legal arrangement (non-trust) – settlor-equivalent	<input type="checkbox"/>	
Controlling Person of a legal arrangement (non-trust) – trustee-equivalent	<input type="checkbox"/>	
Controlling Person of a legal arrangement (non-trust) – protector-equivalent	<input type="checkbox"/>	

Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent	<input type="checkbox"/>	
Controlling Person of a legal arrangement (non-trust) – other-equivalent	<input type="checkbox"/>	

Section 5: Declaration and Undertakings:

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I acknowledge that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I undertake to advise the recipient promptly and provide an updated Self-Certification form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Authorised Signature*:_____

Print Name*: _____

Date: (dd/mm/yyyy)*:_____

Capacity*:_____

Entity Self-Certification for FATCA and CRS

Instructions for completion

We are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an investor's interests in the Fund with relevant tax authorities. This form is intended to request information only where such request is not prohibited by Irish law.

If you have any questions about this form or defining the investor's tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser.

For further information on FATCA or CRS please refer to Irish Revenue website at <http://www.revenue.ie/en/business/aeoi/index.html> or the following link: <http://www.oecd.org/tax/automatic-exchange/> in the case of CRS only.

If any of the information below about the investor's tax residence or FATCA/CRS classification changes in the future, please ensure that we are advised of these changes promptly.

(Mandatory fields are marked with an *)

Investors that are individuals should not complete this form and should complete the form entitled "Individual Self-Certification for FATCA and CRS".

Section 1: Investor Identification

Investor Name*: _____ (the "Entity")

Country of Incorporation or Organisation: _____

Current Registered Address*:

Number: _____ Street: _____

City, town, State, Province or County: _____

Postal/ZIP Code: _____ Country: _____

Mailing address (if different from above):

Number: _____ Street: _____

City, town, State, Province or County: _____

Postal/ZIP Code: _____ Country: _____

Section 2: FATCA Declaration Specified U.S. Person:

Please tick either (a), (b) or (c) below and complete as appropriate.

a) The Entity is a Specified U.S. Person and the Entity's U.S. Federal Taxpayer Identifying number (U.S. TIN) is as follows:

U.S. TIN: _____ ☐

Or

b) The Entity is **not** a Specified U.S. Person (please also complete Sections 3, 4 and 5) ☐

Or

c) The Entity is a US person but **not** a Specified U.S. Person (please also complete Sections 3, 4 and 5) ☐

Section 3: Entity's FATCA Classification* (the information provided in this section is for FATCA, please note your classification may differ from your CRS classification in Section 5):

3.1 Financial Institutions under FATCA:

If the Entity is a *Financial Institution*, please tick one of the below categories and provide the Entity's GIIN at 3.2

I.	<i>Irish Financial Institution or a Partner Jurisdiction Financial Institution</i>	
II.	<i>Registered Deemed Compliant Foreign Financial Institution</i>	
III.	<i>Participating Foreign Financial Institution</i>	

3.2 Please provide the Entity's *Global Intermediary Identification number (GIIN)* _____

3.3 If the Entity is a *Financial Institution* but unable to provide a *GIIN*, please tick one of the below reasons:

I.	<i>The Entity has not yet obtained a GIIN but is sponsored by another entity which does have a GIIN</i> <i>Please provide the sponsor's name and sponsor's GIIN</i> <i>Sponsor's Name: _____ Sponsor's GIIN: _____</i>	
II.	<i>Exempt Beneficial Owner</i>	
III.	<i>Certified Deemed Complaint Foreign Financial Institution (including a deemed complaint Financial Institution under Annex II of the Agreement)</i>	
IV.	<i>Non-Participating Foreign Financial Institution</i>	
V.	<i>Excepted Foreign Financial Institution</i>	

3.4 Non-Financial Institutions under FATCA:

If the Entity is not a Financial Institution, please tick one of the below categories

I.	<i>Active Non-Financial Foreign Entity</i>	
II.	<i>Passive Non-Financial Foreign Entity</i> <i>(If this box is ticked, please include self-certification forms for each of your Controlling Persons)</i>	
III.	<i>Excepted Non-Financial Foreign Entity</i>	

Section 4: CRS Declaration of Tax Residency (please note that you may choose more than one country)*

Please indicate the Entity's country of tax residence for CRS purposes, (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers ("TIN"))

NOTE: Provision of a Tax ID number (TIN) is required unless you are tax resident in a Jurisdiction that does not issue a (TIN).

If the Entity is not tax resident in any jurisdiction (e.g., because it is fiscally transparent), please indicate that below and provide its place of effective management or country in which its principal office is located.

Country of Tax Residency	Tax ID Number

Section 5: Entity's CRS Classification*(The information provided in this section is for CRS. Please note an Entity's CRS classification may differ from its FATCA classification in Section 3):

For more information please see the CRS Standard and associated commentary.

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

5.1 Financial Institutions under CRS:

If the Entity is a *Financial Institution*, please tick one of the below categories

I.	<i>Financial Institution under CRS (other than (II) below)</i>	
II.	An <i>Investment Entity</i> located in a <i>Non-Participating Jurisdiction</i> and managed by another <i>Financial Institution</i> (If this box is ticked, please indicate the name of any Controlling Person(s) of the Entity and complete a separate individual self-certification forms for each of your Controlling Persons **)	

5.2 Non Financial Institutions under CRS:

If the Entity is a *Non Financial Institution*, please tick one of the below categories

I.	<i>Active Non-Financial Entity</i> – a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation	
II.	<i>Active Non-Financial Entity</i> – a Government Entity or Central Bank	
III.	<i>Active Non-Financial Entity</i> – an International Organisation	
IV.	<i>Active Non-Financial Entity</i> – other than (I)-(III) (for example a start-up NFE or a non-profit NFE)	
V.	<i>Passive Non-Financial Entity</i> (If this box is ticked, please complete a separate Individual Self-Certification Form for each of your Controlling Person(s))	

****Controlling Person's:**

NB: Please note that each Controlling Person must complete a Separate Individual Self-Certification form. If there are no natural person(s) who exercise control of the Entity then the Controlling Person will be the natural person(s) who hold the position of senior managing official of the Entity.

For further information on Identification requirements under CRS for Controlling Persons, see the Commentary to Section VIII of the CRS Standard.

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

Section 6: Declarations and Undertakings

I/We declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete.

I acknowledge that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I/We undertake to advise the recipient promptly and provide an updated Self-Certification where any change in circumstance occurs which causes any of the information contained in this form to be incorrect.

Authorised Signature(s)*

Print Name(s)*:

Capacity in which declaration is made*

Date: (dd/mm/yyyy):* _____

APPENDIX II: REDEMPTION REQUEST FORM

Please complete in type or block capitals:-

To: Brown Advisory Funds plc
c/o Brown Brothers Harriman Fund Administration Services (Ireland) Limited
30 Herbert Street
Dublin D02 W329
Ireland

Tel: + (353) 1 603 6490
Fax: + (353) 1 603 6310
Email: BBH.Dublin.TA@bbh.com

Date: _____

Name of Fund: _____

Share Class to be redeemed: _____

Name of Shareholder: _____

Address: _____

Account No: _____

Please redeem the following from my/our holdings in the above named fund.

- (a) Number of Shares: _____
- (a) Value of Shares: _____
- (b) % of Holding: _____

Please forward proceeds by Telegraphic Transfer (delete as appropriate), which will be at the expense of the Shareholder(s). These proceeds will be made to the bank account of the shareholder as appearing on the original application form, unless the shareholder has previously advised Brown Brothers Harriman Fund Administration Services (Ireland) Limited of a change in their bank account. No third-party payments will be made.

The Applicant(s) is/are:

Corporate Name (if applicable):

Last Name: _____ First Name(s): _____

Address: _____

Telephone: _____ Facsimile: _____

Email: _____

Last Name: _____ First Name(s): _____
(If joint applicants) (Corporate Name)

Address:_____

Telephone:_____ Facsimile:_____

Notes:

In the case of joint holders, all must sign.

Corporations should complete this form under the hand of duly authorised official(s) under seal (if any) who should state their capacity.

APPENDIX III: CONVERSION REQUEST FORM

To: Brown Advisory Funds plc
c/o Brown Brothers Harriman Fund Administration Services (Ireland) Limited
30 Herbert Street
Dublin D02 W329
Ireland

Tel: + (353) 1 603 6490
Fax: + (353) 1 603 6310
Email: BBH.Dublin.TA@bbh.com

Date: _____

Shareholder(s) Details

Name: _____

Address: _____

Customer/Account No: _____

I/We request you make the following transfers within my holding of Brown Advisory Funds plc:-

Switch From <i>[Name of Fund/Currency/Class]</i>	<i>Amount*</i>	Switch To <i>[Name of Fund/Currency/Class]</i>

*The amount above may be expressed as a number of Shares, a Cash Amount or a percentage of your holding.

I/We acknowledge that this conversion will consist of a regular redemption (sale) of shares in the original Fund and a subscription (purchase) of shares in the new fund.

Signed: _____

Notes:

In the case of joint holders, all must sign.

Corporations should complete this form under the hand of a duly authorised official(s) under seal (if any) who should state their capacity.

APPENDIX IV

Brown Advisory Funds plc sub-fund name	Currency	Class	Minimum initial investment (USD / EUR / GBP)	ISIN	Value (USD / EUR / GBP)	Number of Shares
US Equity Growth Fund	USD	A Acc	5,000	IE00B0PVD642		
US Equity Growth Fund	USD	B Dis	10,000,000	IE00B0PVD865		
US Equity Growth Fund	USD	B Acc	10,000,000	IE00B8FXN005		
US Equity Growth Fund	USD	P Acc	10	IE00B4M05337		
US Equity Growth Fund	GBP	A Dis	5,000	IE00B0PVD535		
US Equity Growth Fund	GBP H	A Dis	5,000	IE00B4Z8TM10		
US Equity Growth Fund	GBP	B Dis	10,000,000	IE00B0PVD758		
US Equity Growth Fund	GBP H	B Dis	10,000,000	IE00B4MHR723		
US Equity Growth Fund	EUR H	A Acc	5,000	IE00B2NN6563		
US Equity Growth Fund	EUR	B Acc	10,000,000	IE00B2NN6670		
US Equity Growth Fund	EUR H	P Acc	10	IE00B4TVJL59		
US Smaller Companies Fund	USD	A Acc	5,000	IE00B0PVDG43		
US Smaller Companies Fund	USD	B Acc	10,000,000	IE00B0PVDJ73		
US Smaller Companies Fund	USD	C Acc	10,000,000	IE00B5510F71		
US Smaller Companies Fund	GBP	A Dis	5,000	IE00B0PVD636		
US Smaller Companies Fund	GBP	B Dis	10,000,000	IE00B0PVDH59		
US Smaller Companies Fund	GBP H	B Acc	10,000,000	IE00BYQFS912		
US Smaller Companies Fund	EUR H	A Acc	5,000	IE00B2NN6902		
US Smaller Companies Fund	EUR	B Dis	10,000,000	IE00B2NN6B28		
US Smaller Companies Fund	EUR H	P Acc	10	IE00B4JQVX66		
US Small Cap Blend Fund	USD	A Acc	5,000	IE00BG5H9W25		
US Small Cap Blend Fund	USD	B Acc	10,000,000	IE00BBMT2Q16		
US Small Cap Blend Fund	USD	B Dis	10,000,000	IE00BMJJTV52		
US Small Cap Blend Fund	USD	C Acc	10,000,000	IE00BBMT2P09		
US Small Cap Blend Fund	GBP H	A Acc	5,000	IE00BBMT2R23		
US Small Cap Blend Fund	GBP	B Acc	10,000,000	IE00BBMT2S30		
US Small Cap Blend Fund	GBP	A Dis	5,000	IE00BMJJTW69		
US Small Cap Blend Fund	GBP	B Dis	10,000,000	IE00BMJJTX76		
US Small Cap Blend Fund	EUR H	A Acc	5,000	IE00BBMT2T47		
US Small Cap Blend Fund	EUR H	B Acc	10,000,000	IE00BBMT2V68		
US Small Cap Blend Fund	EUR	A Acc	5,000	IE00BMJJTY83		
US Small Cap Blend Fund	EUR	B Acc	10,000,000	IE00BMJJTZ90		
US Small Cap Blend Fund	EUR H	P Acc	10	IE00BBMT2W75		
American Fund	USD	A Dis	5,000	IE00B2R0K062		
American Fund	USD	B Dis	10,000,000	IE00B2R0K393		
American Fund	GBP	A Dis	5,000	IE00B2R0JZ40		
American Fund	GBP	B Dis	10,000,000	IE00B2R0K286		
American Fund	GBP H	B Dis	10,000,000	IE00B40R5F80		
American Fund	EUR H	A Acc	5,000	IE00B2R0K179		
American Fund	EUR	B Dis	10,000,000	IE00B2R0K401		
American Fund	EUR H	P Acc	10	IE00B5SW7018		
US Flexible Equity Fund	USD	A Acc	5,000	IE00BJ357Q90		
US Flexible Equity Fund	USD	A Dis	5,000	IE00BYT42372		
US Flexible Equity Fund	USD	B Acc	10,000,000	IE00BJ357R08		
US Flexible Equity Fund	USD	B Dis	10,000,000	IE00BYT42489		
US Flexible Equity Fund	USD	C Acc	10,000,000	IE00BJ357S15		
US Flexible Equity Fund	USD	C Dis*	10,000,000	IE00BYT42596		
US Flexible Equity Fund	USD	P Acc	10	IE00BJ357T22		
US Flexible Equity Fund	USD	M Dis*	40,000,000	IE00BYT42604		

US Flexible Equity Fund	GBP H	A Acc	5,000	IE00BJ357V44		
US Flexible Equity Fund	GBP	A Dis	5,000	IE00BYT42711		
US Flexible Equity Fund	GBP	B Dis	10,000,000	IE00BYPJ0K93		
US Flexible Equity Fund	GBP H	B Acc	10,000,000	IE00BJ357W50		
US Flexible Equity Fund	GBP H	B Dis	10,000,000	IE00BYPJ0M18		
US Flexible Equity Fund	GBP	C Dis*	10,000,000	IE00BYPJ0L01		
US Flexible Equity Fund	GBP H	C Dis	10,000,000	IE00BYPJ0N25		
US Flexible Equity Fund	GBP	M Dis*	40,000,000	IE00BYT42828		
US Flexible Equity Fund	EUR H	A Acc	5,000	IE00BJ357X67		
US Flexible Equity Fund	EUR H	B Acc	10,000,000	IE00BJ357Y74		
US Flexible Equity Fund	EUR	B Dis	10,000,000	IE00BYT42935		
US Flexible Equity Fund	EUR H	B Dis	10,000,000	IE00BYPJ0R62		
US Flexible Equity Fund	EUR H	P Acc	10	IE00BJ357Z81		
Global Leaders Fund	USD	A Acc	5,000	IE00BVVHP456		
Global Leaders Fund	USD	B Acc	10,000,000	IE00BVVHP563		
Global Leaders Fund	USD	C Acc	10,000,000	IE00BVVHP670		
Global Leaders Fund	USD	P Acc	10	IE00BVVHP787		
Global Leaders Fund	GBP H	A Acc	5,000	IE00BVVHP894		
Global Leaders Fund	GBP H	B Acc	10,000,000	IE00BVVHP902		
Global Leaders Fund	GBP	C Acc	10,000,000	IE00BYJQVN63		
Global Leaders Fund	GBP H	C Acc	10,000,000	IE00BYJQVP87		
Global Leaders Fund	EUR H	A Acc	5,000	IE00BVVHPB22		
Global Leaders Fund	EUR H	B Acc	10,000,000	IE00BVVHPC39		
Global Leaders Fund	EUR H	P Acc	10	IE00BVVHPD46		
US Sustainable Growth	USD	A Dis	5,000	IE00BF1T6M41		
US Sustainable Growth	USD	B Dis	10,000,000	IE00BF1T6T10		
US Sustainable Growth	USD	C Dis	10,000,000	IE00BF1T7439		
US Sustainable Growth	USD	C Acc	10,000,000	IE00BF1T7322		
US Sustainable Growth	GBP	B Dis	10,000,000	IE00BF1T6X55		
US Mid-Cap Growth	USD	C Acc	10,000,000	IE00BYW8RH53		
US Mid-Cap Growth	GBP	B Acc	10,000,000	IE00BYW8R751		
US Mid-Cap Growth	GBP	C Acc	10,000,000	IE00BYW8RK82		
US Mid-Cap Growth	GBP H	C Acc	10,000,000	IE00BYW8RL99		

* These Classes are currently closed to subscription by potential investors until such time as the Directors may determine, in their sole discretion, to re-open such classes for subscription.

Minimum **additional** investment amount:

Sterling (£) Class A Shares	£5,000
Sterling (£) Class B Shares	£2,500,000
Sterling (£) Class M Shares	£2,500,000
USD (\$) Class A Shares	\$5,000
USD (\$) Class B Shares	\$2,500,000
USD (\$) Class C Shares	\$2,500,000
USD (\$) Class M Shares	\$2,500,000
Euro (€) Class A Shares	€5,000
Euro (€) Class B Shares	€2,500,000
USD (\$) Class P Shares	\$10
EUR (€) Class P Shares	€10